

Summary Internal Controls Assurance (SICA) Report 2021/2022 (TIAA, Chris Harris)

Synopsis of report:

To inform Members on the progress made to date by TIAA, the Council's internal auditors, on the 2021/2022 Internal Audit Annual Plan.

Recommendation(s):

None. This report is for information.

1. Context of report

- 1.1 Attached at Appendix 'A' is the most recent Summary Internal Controls Assurance (SICA) Report, as at 4 January 2022.

2. Report

- 2.1 The report identifies one audit which has been completed since the last meeting in November 2021 (Housing - Repairs and Maintenance) and 4 issued in draft form (Risk Management, Data Quality, Accounts Payable and Accounts Receivable).
- 2.2 At the time of writing this report there were no priority 1 or priority 2 recommendations.
- 2.3 There have been 5 alterations to the 2021/2022 plan including the cancellation of 4 audits and the transfer of 4 days from the cancelled Depot audit to expand the planned audit on Section 106 agreements to include the Community Infrastructure Levy.
- 2.4 Fieldwork is in progress for 11 audits and a verbal update will be provided at the meeting.
- 2.5 Appendix 'D' (within the appendix) also refers to briefing notes issued by TIAA which can be circulated to Members of the Committee separately, on request.

3. Resource implications

- 3.1 The audit service is budgeted for in the Council's annual budgets, with a small contingency to cover unforeseen audits.

4. Legal and Equality implications

- 4.1 None.

(For information)

Background papers

Relevant Internal Audit working files and reports